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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	08/978,634
	Filing Date	November 25, 1997
	First Named Inventor	Rabbani
	Art Unit	1635
	Examiner Name	D. Shin
Total Number of Pages in This Submission	Attorney Docket Number	ENz53(D2)

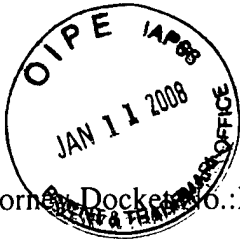
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<b>Remarks</b> <i>Please charge fee set forth in 37CFR 1.17(p) to deposit acct. no. 05-1135</i>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	The Law Offices of Cheryl H. Agris, Ph.D., P.C.		
Signature	<i>Cheryl H. Agris</i>		
Printed name	Cheryl H. Agris		
Date	January 9, 2008	Reg. No.	34,086

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I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:			
Signature	<i>Cheryl H. Agris</i>		
Typed or printed name	Cheryl H. Agris	Date	January 9, 2008

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Attorney Docket No.: ENZ53(D2)

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Rabbani et al.

Application No.: 08/978,634

Group Art Unit: 1635

Filed: November 25, 1997

Examiner: D. Shin

For: **MULTIMERIC COMPLEX COMPOSITIONS AND DELIVERY  
PROCESSES USING SAME**

Confirmation No.: 4640

**INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. 1.56, 1.97 and 1.98, Applicants submit herewith further references which they believe may be material to the patentability of this application and with respect to which there may be a duty to disclose in accordance with 37 C.F.R. 1.56.

While the references may be "material" under 37 C.F.R. 1.56, it is not intended to constitute an admission that the references are "prior art" unless specifically designated as such.

The filing of this Supplemental Information Disclosure Statement shall not be construed as a representation that no other material references than those listed exist or that a search has been conducted.

Form PTO/SB08a lists US and foreign patent documents and non patent literature that have not been made of record. Some of these foreign patent documents and non-patent literature have been submitted previously. Those submitted herewith are listed below:

**Foreign Patents**

WO9528494 1995-10-26 Overell et al.  
WO9508635 1995-03-30 Craig et al.

01/11/2000 EHAILE1 00000005 051135 08978634  
01 FC:1806 180.00 DA

WO9506129 1995-03-02 Craig et al.

### **Non-patent documents**

VERMA Nature 389: 239-242. 1997

FISHER Neurobiology of Disease 4: 1-22. 1997

DATTA et al. Mol. Cell Biol. 16: 1085-1093. 1996

BENNET et al. pp 13-46 in Methods in Molecular Medicine: Antisense Therapeutics  
Ed. S. Agrawal, Humana Press, Inc. Totowa NJ 1996

WANG et al., Proc. Natl. Acad. Sci. USA 92: 3318-3322. 1995

THIMME et al., Virology 211: 296-301. 1995

SUN et al. Proc. Natl. Acad. Sci. USA 92: 7272-7276. 1995

ROSSI, Trends Biotechnol. 13: 301-306. 1995

RAMIREZ et al., Virology 206: 57-68. 1995

PARDRIDGE et al., Proc. Natl. Acad. Sci. USA 92: 5592-5596. 1995

MILLER et al., FASEB J. 9: 190-199. 1995

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LAU et al., Hum Gene Ther. 6: 1145-1151. 1995

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DUMONTET et al. La Revue de Medecine Intern. 13: 460-464. 1992.  
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OLD et al., Blackwell Scientific Publications, London, 1986  
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PERBAL, John Wiley & Sons, New York, 1984  
MANIATIS et al., Cold Spring Harbor Laboratory Press, 1982  
ANDERSON et al. Nature 290: 754-758. 1981

It is respectfully requested that these references be considered by the Patent and Trademark Office in its examination of the above-identified application and be made of record therein. The Examiner is also invited to contact the Undersigned if there are any questions concerning this paper or the attached references.

The Information Disclosure Statement submitted herewith is being filed

☐ after submitting the RCE but before any subsequent Office Action

☐ before the mailing date of a first Office Action on the merits

☒ after the mailing date of a first Office Action on the merits. Please charge the fee of \$180 to deposit account no. 05-1135.

Respectfully submitted,

Date: 1/9/2008

C Cheryl H. Agris

Cheryl H. Agris, Reg. No. 34,086  
(914) 712-0093



**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	08978634
Filing Date	1997-11-25
First Named Inventor	Rabbani
Art Unit	1635
Examiner Name	D. Shin
Attorney Docket Number	Enz-53-D2

**CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

**OR**

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

☐ See attached certification statement.

☒ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

☐ None

*Charge Deposit A/c NO. 65-1135*

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	<i>Cheryl H. Agis</i>	Date (YYYY-MM-DD)	<i>2008-01-09</i>
Name/Print	Cheryl H Agis	Registration Number	34086

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**



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6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
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9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.